NCDOT LOCATION & SURVEYS UNIT ORD GUIDELINES

AUGUST 18, 2023

Revised August 31, 2023: Added Terrain Model & Null File Naming Conventions.

Revised verbiage for Photogrammetry file location.

Revised October 26, 2023: Added new 2D file for Best-Fit Alignments (ELN).

No longer part of the PRL file.

Revised November 7, 2023: Revised naming conventions to include Unit (LS) and replace

underscore before DATE with hyphen. Revised Control & RW Sheets – C Series to have a DGN for Primary Control and different

DGN for Secondary Control

Revised December 12, 2023: Removed PEF name from FS and ECTM files. Corrected FERC

naming convention. Added AAM file type (Advanced Acquisition Maps). Added Level D for SUE file. Changed name for PSD file to

start with PSD# (F) in place of TIP#. Added and Inverse to

description for PSD file.

Revised January 4, 2024: Added Jurisdictional Streams DGN.

TABLE OF CONTENTS

| Workflow Documentation | <mark>3</mark> |
|--|----------------|
| • Folder Structure | <mark>7</mark> |
| ReadMe Files | 8 |
| Naming Conventions | 10 |

Workflow Documentation

FINAL SURVEY

- Survey projects using the ORD workflow will begin with a 3D file created in the Location Surveys/Working Files/Conventional folder on ProjectWise (PW). The naming convention for this file will be TIP#_LS_CON_PEF-#-DATE.DGN (i.e., R2500_LS_CON_MCK-3-230818.DGN). The # symbol matches the assignment number for the project. If the work is performed by Location & Surveys simply use LSDIVISION (i.e., LS05-2) in place of PEF in the naming convention. This file will contain all the 3D data on a project including but not limited to Control, DTM's, Storm Drain, Sanitary Sewer and Topography.
- A 2D file will need to be created in the Location Surveys/Working Files folder that will contain the Property data. The naming convention for this file will be TIP#_LS_PRL_PEF-#-DATE.DGN. If the work is performed by Location & Surveys simply use LSDIVISION (i.e., LS05-2) in place of PEF in the naming convention.
- A 2D file will need to be created in the Location Surveys/Working Files folder that will contain the Best-Fit Alignment data. The naming convention for this file will be TIP#_LS_ELN_PEF-#-DATE.DGN. If the work is performed by Location & Surveys simply use LSDIVISION (i.e., LS05-2) in place of PEF in the naming convention.
- A 2D file will need to be created in the Location Surveys/Working Files folder that will contain the SUE data. The naming convention for this file will be TIP#_LS_SUE_PEF-#-DATE.DGN.
- Typically, there is a 3D file provided by Photogrammetry. A copy of the
 photogrammetric file should be placed in the Location Surveys/Working Files folder.
 The naming convention for this file will be TIP#_LS_PHOTO_PEF-#-DATE.DGN.
 There may also be a QL2 file that should be named TIP#_LS_QL2_PEF-#-DATE.DGN.
 Edits to these copied files can now be performed as needed.
- A 3D file will be created in the Location Surveys/Working Files folder that will contain the Mobile Scanning and/or Static Scanning files. The naming convention for these files will be TIP#_LS_TML_PEF-#-DATE.DGN or TIP#_LS_TSL_PEF-#-DATE.DGN.
- Once satisfied with the above files, make a copy of them and place the copies in the Final Survey/Delivered References folder. Rename these copies by dropping the DATE so that we don't have duplicate file names in multiple folders. These copied files can now be edited as needed for completeness and clarity.

NCDOT OpenRoads Survey

Now create the Final Survey file for the project which will be a blank/container file. This file will be located in the Final Survey folder with a naming convention of TIP#_LS_FS.DGN. Reference the reference files located in the Delivered References folder and perform edits as needed to the reference files. You can take advantage of the Activate/Deactivate tools in the reference file dialog box to access/edit the reference file. Additional reference files can be added to the Delivered References folder and referenced to the FS file without making any changes to the actual FS file.

EXISTING COMPLEX TERRAIN

- Terrain models need to be made for the individual 3D files mentioned above. There may be terrain models for SURVEY, PHOTO, MOBILE SCANNING, STATIC SCANNING, UAS and/or SONAR. Individual terrain models can be created by Field Book or by a Graphical Filter.
- Create a new 3D file for the Existing Complex Terrain in the Final Survey folder. The naming convention for this file is TIP#_LS_ECTM.DGN. This file will be a blank/container file.
- Reference applicable 3D files in the Delivered References folder. Perform manual edits to individual reference files as needed to ensure that models combine together properly. You can take advantage of the Activate/Deactivate tools in the reference file dialog box to access/edit the reference file. Below are standard naming conventions for the individual Terrain Models.

| FILE NAME | TERRAIN MODEL NAME |
|-----------------------------|--------------------|
| TIP_LS_CON_PEF-#-DATE.DGN | TIP_LS_CON_PEF-# |
| TIP_LS_TML_PEF-#-DATE.DGN | TIP_LS_TML_PEF-# |
| TIP_LS_TSL_PEF-#-DATE.DGN | TIP_LS_TSL_PEF-# |
| TIP_LS_SON_PEF-#-DATE.DGN | TIP_LS_SON_PEF-# |
| TIP_LS_UAS_PEF-#-DATE.DGN | TIP_LS_UAS_PEF-# |
| TIP_LS_PHOTO_PEF-#-DATE.DGN | TIP_LS_PHOTO_PEF-# |
| TIP_LS_QL2_PEF-#-DATE.DGN | TIP_LS_QL2_PEF-# |

• Once all edits are complete, perform the creation of the Existing Complex Terrain Model following instructions from the ECTM SOP document and training video.

NCDOT OpenRoads Survey

• The blank/container ECTM.DGN file will now contain the ECTM model to be used throughout the life of a project. Additional/revised data can be merged or appended into this model without making any changes to the actual ECTM DGN file.

NCDOT Location and Surveys Workflow

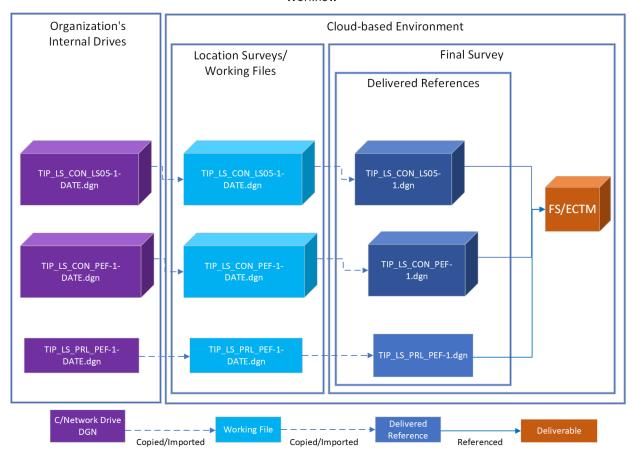


Figure 1 – NCDOT Location & Surveys Workflow

2023 NCDOT Survey Deliverable Update

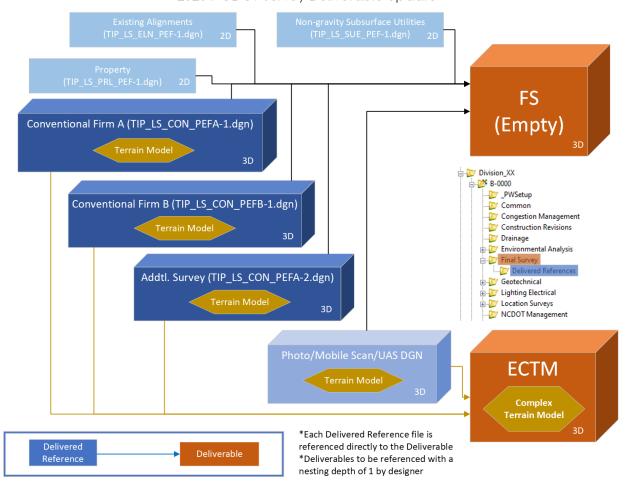


Figure 2 – NCDOT Location & Surveys Deliverable Update

Folder Structure

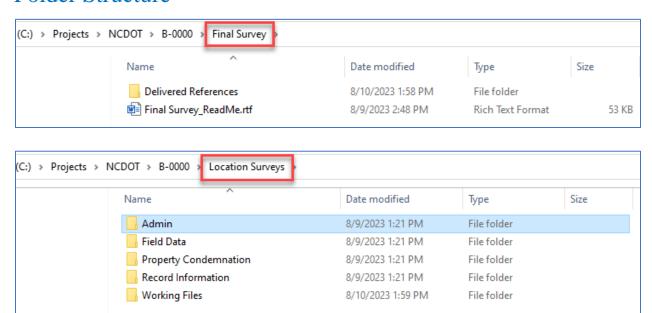


Figure 3 - View of Directory Structure on Local Drives

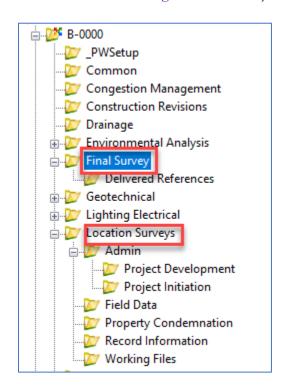


Figure 4 - View of Directory Structure on ProjectWise

ReadMe Files

Final Survey

This directory is intended to house the Final Survey file (FS) and the Existing Complex Terrain Model file (ECTM) for the project. The FS file will be a 3D file and will be blank/container files with no actual data in it. You will need to reference all the applicable files located in the Delivered References directory making edits to reference files as needed for clarity and compatibility. The blank FS file with multiple reference files is needed so that all the feature definitions are kept intact throughout the life of the project and the linkages for downstream users will stay intact. Merging files or models together (merge to master) will lose the feature definitions and are not recommended for our workflow.

The ECTM file will also be a blank file referencing multiple terrain models from various sources (ie Airborne LiDAR, Mobile Scanning, Static Scanning Conventional Surveys, etc). There is a Standard Operating Procedure (SOP) and training video located in the NCDOT-Location and Surveys Teams site on how to generate and update the ECTM file.

The following files should be placed here:

• Final Survey File (3D): TIP#_LS_FS.DGN

• Existing Complex Terrain Model File (3D): TIP#_LS_ECTM.DGN

Delivered References

This directory is intended to house the Final Reference files for the project. Final Reference files will have been copied from the Working Files directories and renamed by dropping the date. Edits needed for clarity and compatibility can be made to these copied files. Files in the Working File directory will remain intact as delivered from the consultant.

Location Surveys/Admin/Project Development

This directory is intended to house any critical data that is generated throughout the life of a project. The following items should be stored here:

- •Review/Comment documents
- •Final Survey Report
- Project Check Lists
- •Critical correspondence
- Evaluations
- •Misc Project Information

Location Surveys/Admin/Project Initiation

This directory is intended to house any critical documents used throughout the project initiation phase. This will be very valuable data for anyone coming behind to check scopes of work, etc.

NCDOT OpenRoads Survey

The following items should be stored here:

- Survey Limits files
- •Approved Scope of Work document
- •Misc Project Information

Location Surveys/Field Data

This directory is intended to house all critical field data that is generated throughout the life of a project. The following items should be stored here:

- •Closure Reports
- Control Files
- •Field Notes
- •Raw Files
- •Site Photos
- •TBC Data
- •RW Staking

Location Surveys/Property Condemnation

This directory is intended to house all critical data related to property condemnation surveys. The following items should be stored here:

- •PSD files
- •Closure Reports
- •Check Lists

Location Surveys/Record Information

This directory is intended to house all critical record information throughout the life of a project. The following items should be stored here:

- •Deeds/Plats
- NCDOT Records
- •Property Owner Data
- Utility Records
- •Misc Record Information

Location Surveys/Working Files

This directory is intended to house all CAD files that are still in an unfinished state. The following files should be placed here. Once these files are in a finished state they will need to be copied and renamed to the Final Survey/Delivered References folder for downstream users.

| WORKING DIRECTORIES | DELIVERED REFERENCES (DROP DATE) | DESCRIPTION | 3D/2D |
|------------------------------------|--|---|-------|
| | BASE MAPPI | NG | |
| TIP_LS_CON_PEF-#-DATE.DGN | TIP LS CON PEF-#.DGN | CONVENTIONAL | 3D |
| TIP_LS_PRL_PEF-#-DATE.DGN | TIP LS PRL PEF-#.DGN | PROPERTY | 2D |
| TIP_LS_ELN_PEF-#-DATE.DGN | TIP LS ELN PEF-#.DGN | BEST-FIT ALIGNMENTS | 2D |
| TIP_LS_SUE_PEF-#-DATE.DGN | TIP LS SUE PEF-#.DGN | SUE UTILITY - LEVEL B,C,D | 2D |
| TIP LS SUETH PEF-#-DATE.DGN | TIP LS SUETH PEF-#.DGN | SUE UTILITY - LEVEL A - TEST HOLES | 2D |
| TIP_LS_TML_PEF-#-DATE.DGN | TIP LS TML PEF-#.DGN | TERRESTRIAL MOBILE LIDAR | 3D |
| TIP_LS_TSL_PEF-#-DATE.DGN | TIP LS TSL PEF-#.DGN | TERRESTRIAL STATIC LIDAR | 3D |
| TIP LS SON PEF-#-DATE.DGN | TIP LS SON PEF-#.DGN | SONAR , BATHYMETRIC | 3D |
| TIP LS UAS PEF-#-DATE.DGN | TIP LS UAS PEF-#.DGN | DRONE, UAS, UAV | 2D/3D |
| TIP LS PHOTO PEF-#-DATE.DGN | TIP LS PHOTO PEF-#.DGN | COPY OF PHOTOGRAMMETRY FILE | 3D |
| TIP LS QL2 PEF-#-DATE.DGN | TIP LS QL2 PEF-#.DGN | COPY OF QL2 FILE | 3D |
| TIP LS REM PEF-#-DATE.DGN | TIP LS REM PEF-#.DGN | REALITY MODEL FILE FROM UAS DATA | 3D |
| TIP LS NULL PEF-#-DATE.DGN | TIP LS NULL PEF-#.DGN | NULL FILE FOR SINGLE SURVEY DATABASE (ECTM) | 3D |
| | RIGHT OF W | ` ' | |
| TIP LS RW01 PEF-#-DATE.DGN | SEE NOTE BELOW | TITLE SHEET | 2D |
| TIP LS RW02C-P PEF-#-DATE.DGN | SEE NOTE BELOW | C SERIES CONTROL SHEETS - PRIMARY CONTROL | 2D |
| TIP LS RW02C-S PEF-#-DATE.DGN | SEE NOTE BELOW | C SERIES CONTROL SHEETS - SECONDARY CONTROL | 2D |
| TIP LS RW02D PEF-#-DATE.DGN | SEE NOTE BELOW | D SERIES RW SHEETS | 2D |
| TIP LS RW03E PEF-#-DATE.DGN | SEE NOTE BELOW | E SERIES RW SHEETS | 2D |
| TIP LS RWL PEF-#-DATE.DGN | SEE NOTE BELOW | RW SHEETS (COPIED PPL FILE) | 2D |
| | OTHER | | |
| PSD#(F) LS PSD PEF-#-DATE.DGN | PSD#(F) LS PSD PEF-#.DGN | PSD, CONDEMNATION & INVERSE | 2D |
| TIP LS AAM PEF#-DATE.DGN | TIP LS AAM PEF-#.DGN | ADVANCED ACQUISITION MAPS | 2D |
| TIP LS JS PEF-#-DATE.DGN | TIP LS JS PEF-#.DGN | JURISDICTIONAL STREAMS | 2D |
| TIP LS WEX-M PEF#-DATE.DGN | TIP LS WEX M PEF-#.DGN | UNVERIFIED MAPPING GRADE WETLAND | 2D |
| TIP LS WET-M PEF-#-DATE.DGN | TIP LS WET M PEF-#.DGN | VERIFIED MAPPING GRADE WETLAND | 2D |
| TIP LS WEX-S PEF-#-DATE.DGN | TIP LS WEX S PEF-#.DGN | UNVERIFIED SURVEY GRADE WETLAND | 2D |
| TIP LS WET-S PEF-#-DATE.DGN | TIP LS WET S PEF-#.DGN | VERIFIED SURVEY GRADE WETLAND | 2D |
| TIP LS FERC PEF-#-DATE.DGN | TIP LS FERC PEF-#.DGN | FERC (FEDERAL ENERGY REGULATORY COMMISSION) | 2D |
| TIP LS DOI PEF-#-DATE.DGN | TIP LS DOI PEF-#.DGN | DEPT OF INTERIOR | 2D |
| TIP LS ALTA PEF-#-DATE.DGN | TIP LS ALTA PEF-#.DGN | ALTA | 3D |
| TIP LS RR PEF-#-DATE.DGN | TIP LS RR PEF-#.DGN | RAILROAD | 2D |
| TIP LS DOD PEF-#-DATE.DGN | TIP LS DOD PEF-#.DGN | DEPT OF DEFENSE | 2D |
| TIP LS MLP PEF-#-DATE.DGN | TIP LS MLP PEF-#.DGN | MAPPING LIMITS POLYGON, PHOTO LIMITS | 2D |
| TIP LS SLP PEF-#-DATE.DGN | TIP LS SLP PEF-#.DGN | SURVEY LIMITS POLYGON, TIN HULL | 2D |
| TIP LS PARCEL PEF-#-DATE.DGN | TIP LS PARCEL PEF-#.DGN | PARCEL POLYGON FOR DEED DRAFT | 2D |
| TIP_LS_ASB_PEF-#-DATE.DGN | TIP_LS_ASB_PEF-#.DGN | AS-BUILT | 3D |
| PEF# MATCHES ASSIGNMENT# | | 1.4.4.4 | |
| PEF = FIRM OR LS DIVISION | | | |
| DATE = SUBMITTAL DATE IN YEAR/MO | □ NTH/DAY FORMAT (i.e. 220818) | | |
| DATE - SOUNT THE DATE IN TEANY WIO | | | |
| EXAMPLES | DESCRIPTION | | |
| U3633 LS CON JMT-3-230621.DGN | 3RD ASSIGNMENT TO JMT | | |
| U3633 LS PRL LS05-2-230621.DGN | 2ND ASSIGNMENT TO LS DIVISION 5 | | |
| | The state of the s | | |
| NOTE: | | | |
| | S WILL CONTINUE TO RESIDE ON SHARE | POINT | |

Figure 5 – Typical Naming Conventions

| TASK | PW/LOCAL DIRECTORY | FILE NAME |
|---|-------------------------------------|---------------------------------------|
| Property Owner Research (GIS, DB/PB compilation) | Location Surveys/Record Information | TIP_LS_DBPB_PEF-#-DATE.PDF |
| | | |
| Property Owner Contact (Procom Database, POC.mdb) | Location Surveys/Record Information | TIP_LS_POC_PEF-#-DATE.XLS |
| | | |
| Photogrammetric Control (Set/Tie Photo Points) | Location Surveys/Field Data | TIP_LS_PHOTO CONTROL_PEF-#-DATE.CSV |
| Project Control - Horz. & Vert. (GNSS/Static/VRS, etc.) | Location Surveys/Field Data | TIP_LS_PRIMARY CONTROL_PEF-#-DATE.CS\ |
| Baseline (Set/Traverse/Elevate) | Location Surveys/Working Files | TIP_LS_CON_PEF-#-DATE.DGN |
| Benchmarks (Set/Tie/Elevate) | Location Surveys/Working Files | TIP_LS_CON_PEF-#-DATE.DGN |
| Compute Best-Fit Alignments (EL/EY alignments) | Location Surveys/Working Files | TIP LS ELN PEF-#-DATE.DGN |
| Sompare Destricting minerity (ED/E) angiments) | Escation surveys, Working Files | III _ES_EET _ ET # B/TEBST |
| Loc. of Pavement DTMs - Conventional | Location Surveys/Working Files | TIP_LS_CON_PEF-#-DATE.DGN |
| Loc. of Pavement DTMs - Mobile Scanning | Location Surveys/Working Files | TIP_LS_TML_PEF-#-DATE.DGN |
| Loc. of Pavement DTMs - Static Scanning | Location Surveys/Working Files | TIP_LS_STL_PEF-#-DATE.DGN |
| Loc. of Rail DTMs | Location Surveys/Working Files | TIP_LS_CON_PEF-#-DATE.DGN |
| Loc. of Earth DTMs (Including obscured areas) | Location Surveys/Working Files | TIP_LS_CON_PEF-#-DATE.DGN |
| Hydrographic - Conventional (Water Features) | Location Surveys/Working Files | TIP_LS_CON_PEF-#-DATE.DGN |
| | Legation Suprays / Marking Files | TID IS CON DEF # DATE DON |
| Hydrographic - Sonar Surveys (Sonar) | Location Surveys/Working Files | TIP_LS_SON_PEF-#-DATE.DGN |
| Property Mapping | Location Surveys/Working Files | TIP_LS_PRL_PEF-#-DATE.DGN |
| Loc. of Planimetric Features | Location Surveys/Working Files | TIP_LS_CON_PEF-#-DATE.DGN |

Figure 6 – PEF Tasks with Naming Conventions & Folder Structure (Page 1 of 2)

NCDOT OpenRoads Survey

| Loc. of Non-Gravity U/G Utilities (LOS-C 811) | Location Surveys/Working Files | TIP_LS_CON_PEF-#-DATE.DGN |
|--|--|------------------------------------|
| .oc. of Non-Gravity U/G Utilities - SUE | Location Surveys/Working Files | TIP_LS_SUE_PEF-#-DATE.DGN |
| Loc. Of U/G Storm Utilities | Location Surveys/Working Files | TIP_LS_CON_PEF-#-DATE.DGN |
| Loc. Of Gravity Sanitary Sewer Utilities | Location Surveys/Working Files | TIP_LS_CON_PEF-#-DATE.DGN |
| R/W & Easements Staking | Location Surveys/Field Data | TIP_LS_RW STAKING_PEF-#-DATE.CSV |
| Control & RW Sheets - Title Sheet | Location Surveys/Working Files | TIP_LS_RW01_PEF-#-DATE.DGN |
| Control & RW Sheets - C-Series - Primary Control | Location Surveys/Working Files | TIP_LS_RW02C-P_PEF-#-DATE.DGN |
| Control & RW Sheets - C-Series - Secondary Control | Location Surveys/Working Files | TIP_LS_RW02C-S_PEF-#-DATE.DGN |
| Control & RW Sheets - D Series | Location Surveys/Working Files | TIP_LS_RW02D_PEF-#-DATE.DGN |
| Control & RW Sheets - E Sheets | Location Surveys/Working Files | TIP_LS_RW03E_PEF-#-DATE.DGN |
| Control & RW Sheets - Plan Sheets | Location Surveys/Working Files | TIP_LS_RWL_PEF-#-DATE.DGN |
| Final Survey | Final Survey | TIP_LS_FS.DGN |
| Existing Complex Terrain Model | Final Survey | TIP_LS_ECTM.DGN |
| Ground Truthing (Scan & Control Data) | Location Surveys/Field Data | TIP_LS_SCAN CONTROL_PEF-#-DATE.CSV |
| Photogrammetry Check Points | Location Surveys/Field Data | TIP_LS_PHOTO CHK_PEF-#-DATE.CSV |
| Project Review Checklist | Location Surveys/Admin/Project Development | TIP_LS_CHK LIST_PEF-#-DATE.CSV |
| Preliminary Condemnation Map | Location Surveys/Property Condemnation | TIP_LS_PSD#_PEF-#-DATE.DGN |
| PEF# MATCHES ASSIGNMENT# | | |
| PEF = FIRM OR LS DIVISION | | |
| DATE = SUBMITTAL DATE IN YEAR/MONTH/DA | Y FORMAT (i.e., 230818) | |
| EXAMPLES | DESCRIPTION | |
| U3633_LS_CON_JMT-3-230621.DGN | 3RD ASSIGNMENT TO JMT | |
| U3633_LS_PRL_LS05-2-230621.DGN | 2ND ASSIGNMENT TO LS DIVISION 5 | |
| NOTE: | | |
| FINAL PDF'S OF CONTROL & RW SHEETS WILL O | CONTINUE TO DECIDE ON CHARE POINT | |

Figure 6 – PEF Tasks with Naming Conventions & Folder Structure (Page 2 of 2)